**SELF-CERTIFICATION FORM**

(for entities)

Title, name, surname: …………………………………………………………………………………

(*of the person authorised to act on behalf of the company)*

Birth registration No. (or date of birth): …………………………………………………………………………………

Name of the company: …………………………………………………………………………………

Company Identification No.: …………………………………………………………………………………

(hereinafter as the „Company”)

1) I declare that the Company is a tax resident of the following states (*please fill in the state/states of tax residency of the Company and if you indicate a state other than the Czech Republic, please provide the tax identification number of the Company in this state*):

State: ……………………………………………………………………… TIN: ………………………………………………………………………

State: ……………………………………………………………………… TIN: ………………………………………………………………………

*(Note: A company is a tax resident of the Czech Republic if it has its registered office or place of management in the Czech Republic.)*

2) I declare that the Company ***is / is not****[[1]](#footnote-1)* a specified U.S. person (*i.e., a partnership or corporation organized in the United States of America (hereinafter the „U.S.“) or under the laws of the U.S. or any state thereof, but which is not also a corporation the stock of which is regularly traded on established securities markets, a dealer in securities, a broker, a real estate investment trust, a regulated investment company or other entity as defined in the FATCA Agreement and the U.S. Internal Revenue Code).*

*If the Company is a specified U.S. person, please provide the Company's U.S. tax identification number:*

TIN: ………………………………………………………………………

*If you are a U.S. person (i.e., a partnership or corporation organized in the U.S. or under U.S. law) but are not a Specified U.S. Person, please provide the reason for the exclusion (i.e., a company the stock of which is regularly traded on established securities markets, a dealer in securities, a bank, a regulated investment company, etc., as categorized under the FATCA Agreement and the U.S. Internal Revenue Code):*

…………………………………………………………………………………………………………………………………………………………………..

3) I declare that the Company ***is / is not*** a financial institution *(i.e., a custodial institution, depository institution, investment entity or specified insurance company as defined in Act No. 164/2013 Coll., on International Cooperation in Tax Administration, and the FATCA Agreement).*

*If the Company is a financial institution, please provide the GIIN assigned or the reason why the Company has not been assigned one:*

GIIN: ………………………………………………………………………

*If you have indicated in item 3 that the Company is a financial institution, it is not necessary to complete the following item 4:*

4) I declare that **the Company** **is**

☐ an active non-financial entity[[2]](#footnote-2)

☐ a passive non-financial entity[[3]](#footnote-3).

*(Active non-financial entities mainly include entities conducting standard business activities (production, trade, services, etc.)*

5) I declare that the Company **has / does not have** a branch, organizational unit or establishment in a country other than the country of its registered office.

*If the Company has a branch, organizational unit or establishment in a country other than the state of its registered office, fill in all countries where the Company has a branch, organizational unit or establishment:*

State: ………………………………………………………………………

State: ………………………………………………………………………

*If the Company's only state of tax residency is the Czech Republic* ***and*** *the Company is not a U.S. person* ***and*** *the Company is an active non-financial entity, the following items 6, 7 and 8 regarding the beneficial owner of the Company need not be completed. If the Company is tax resident outside the Czech Republic, is a US person and/or is a passive financial entity, please complete the following items regarding the beneficial owner of the Company (complete in the number corresponding to all beneficial owners of the Company):*

6) I declare that the ultimate beneficial owner of the Company

name of the beneficial owner: .................................................................................,

date of birth . ................................................................................,

address of residence: ................................................................................,

is a tax resident of the following states (*please fill in the state/states of the tax residency and if a state other than the Czech Republic is indicated, please provide the tax identification number of the beneficial owner in this state[[4]](#footnote-4)*):

State: ……………………………………………………………………… TIN: ………………………………………………………………………

State: ……………………………………………………………………… TIN: ………………………………………………………………………

I declare that the aforementioned beneficial owner ***is / is not*** a U.S. person (i.e., a citizen of the U.S. or a resident of the U.S. for tax purposes[[5]](#footnote-5)):

*If the aforementioned* *beneficial owner is a U.S. person, please also provide the applicable U.S. tax identification number of the beneficial owner:* ………………………………………………………………………

7) I declare that the ultimate beneficial owner of the Company

name of the beneficial owner: .................................................................................,

date of birth . ................................................................................,

address of residence: ................................................................................,

is a tax resident of the following states (*please fill in the state/states of the tax residency and if a state other than the Czech Republic is indicated, please provide the tax identification number of the beneficial owner in this state*):

State: ……………………………………………………………………… TIN: ………………………………………………………………………

State: ……………………………………………………………………… TIN: ………………………………………………………………………

I declare that the aforementioned beneficial owner ***is / is not*** a U.S. person (i.e., a citizen of the U.S. or a resident of the U.S. for tax purposes).

*If the aforementioned* *beneficial owner is a U.S. person, please also provide the applicable U.S. tax identification number of the beneficial owner:* ………………………………………………………………………

8) I declare that the ultimate beneficial owner of the Company

name of the beneficial owner: .................................................................................,

date of birth . ................................................................................,

address of residence: ................................................................................,

is a tax resident of the following states (*please fill in the state/states of the tax residency and if a state other than the Czech Republic is indicated, please provide the tax identification number of the beneficial owner in this state*):

State: ……………………………………………………………………… TIN: ………………………………………………………………………

State: ……………………………………………………………………… TIN: ………………………………………………………………………

I declare that the aforementioned beneficial owner ***is / is not*** a U.S. person (i.e., a citizen of the U.S. or a resident of the U.S. for tax purposes):

*If the aforementioned* *beneficial owner is a U.S. person, please also provide the applicable U.S. tax identification number of the beneficial owner:* ………………………………………………………………………

*If the Company has multiple ultimate* *beneficial owners, please list them below (including their date of birth, place of residence, tax residency states, TINs, and whether or not they are a U.S. person):*

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

I solemnly declare that all information provided in this self-certification form is true. I undertake to inform SAB Finance a.s. immediately of any changes to the above information for the duration of the contractual relationship with SAB Finance a.s.

In \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ on \_\_\_\_\_\_\_\_\_\_\_\_\_\_

client's signature

1. Delete as appropriate [↑](#footnote-ref-1)
2. *A Company is an active non-financial entity if (i) less than 50% of the Company's gross income for the calendar year is passive income and less than 50% of the assets held by the Company are assets that generate or are held for the purpose of generating passive income, and/or (ii) the shares issued by the Company are traded on an established securities market, or the Company is affiliated with an entity whose shares are traded on an established securities market* [↑](#footnote-ref-2)
3. *A passive non-financial entity is a company whose income is more than 50% derived from financial activities, leases, dividends, bonds, interests, licences and/or patents granted, etc. Passive entities are e.g., holding companies, trusts, funds, lessors, operation of gambling clubs, casinos or betting shops.* [↑](#footnote-ref-3)
4. *If the beneficial owner does not have a tax identification number, provide its functional equivalent (see* [*oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/*](https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/)) [↑](#footnote-ref-4)
5. *A resident of the United States for tax purposes is defined as a U.S. green card holder or a person who meets the substantial presence test for the calendar year, i.e., is physically present in the USA for at least 31 days during the current year and 183 days during the 3-year period that includes the current year and the two preceding years. Please, see the relevant US tax law for the details.* [↑](#footnote-ref-5)